

AVCJ ESG Forum 2017

13 November 2017

Co-hosted by:  **AVCJ**
An Acuris company

 **PRI** Principles for Responsible Investment



ESG continues to grow in importance, as global investors put increasing pressure on fund managers to not only adopt ESG standards, but to also demonstrate that they are being factored into investment decisions. Likewise, their Asian counterparts are ramping up their internal ESG capabilities to match their global peers.

Join us as we discuss how Asian GPs must develop clear strategies and build robust ESG frameworks to stand out in front of global and regional LPs.

Co-hosted by AVCJ and UNPRI, the forum will provide a platform for education, benchmarking and the exchange of ideas on how GPs operating in Asia can incorporate Environmental, Social and Governance (ESG) principles across the companies in their portfolio.

REGISTER NOW

6 Key reasons to attend:

- 1 Hear** the latest global policy initiatives and their implications to private equity investors
- 2 Discover** the trends and opportunities in sustainable investing in Asian private equity
- 3 Understand** LPs' expectations from GPs on their commitment to ESG
- 4 Uncover** best practice on portfolio-wide data gathering and reporting for LPs
- 5 Discuss** the rise of impact investing and how GPs view it in relation to their ESG policy
- 6 Take part** in specialist roundtables to address the most pressing ESG challenges for investors



2016 Forum key statistics:



110+
Delegates



30
Speakers



17
Countries



85+
Companies



30+
LPs

Enquiry

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Join your peers

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About the AVCJ ESG Forum

What our attendees say:

“Those that talk about ESG as risk management are reacting to pressure rather than trying to get out in front and recognizing that ESG is not only the right thing but that it can also give you a competitive advantage.”

Patrick T. Siewert, Managing Director, The Carlyle Group

“Good mix of attendees, interesting topics and great networking opportunities.”

Alvin Lam, Senior Managing Director, CVC Capital Partners



Companies attended the 2016 Forum:

- Aberdeen Asset Management
- Abraaj Group
- Adams Street Partners
- ADV Partners
- Advantage Partners
- Affinity Equity Partners
- Alberta
- Aozora Bank
- Ark Totan Alternative
- Berkeley Energy
- BIMB Investment
- California Public Employees' Retirement System (CalPERS)
- California State Teachers' Retirement System
- Christian Super
- CVC Capital Partners
- Deutsche Bank Private Equity
- EQT Partners
- ERM
- FAIRR / Coller
- Future Fund
- GIC
- Growald Family Fund
- Hanwha Asset Management
- HESTA
- High Impact Capital Advisors
- IFM Investors
- Intermediate Capital Group (ICG)
- International Finance Corporation
- KKR
- L Capital
- Monetary Authority of Singapore
- Morgan Stanley Alternative Investment Partners
- Multiples Alternate Asset Management
- Munich Private Equity Partners
- National Center for Sustainability Reporting
- Navis Capital Partners
- New Forests Asia
- Norinchukin Bank
- PAI Partners
- Pantheon Ventures
- Pavilion Capital
- Permira
- PGGM
- PineBridge Investments
- QIC
- Quadria Capital Investment Management
- Rockefeller & Co
- Second Swedish National Pension Fund
- Shaw Kwei & Partners
- Temasek International
- TPG Capital
- Tsing Capital
- United Nations Joint Staff Pension Fund
- World Bank

... and many more!

Sponsorship opportunities

The AVCJ Forum offers a range of standard and bespoke sponsorship packages that can be tailored to suit your company's budget and business objectives.

SPONSORSHIP ENQUIRIES:

Darryl Mag

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Supporting Organisations



Media Partners



Register online at avcjesg.com

Senior Industry Professionals



✓ **Christopher J. Ailman**
Chief Investment Officer
**CALIFORNIA STATE TEACHERS'
RETIREMENT SYSTEM**



✓ **Kathleen Bacon**
Managing Director
**HARBOURVEST PARTNERS
(U.K.)**



✓ **Adam Black**
Head of ESG & Sustainability
COLLER CAPITAL



✓ **Natasha Buckley**
Investment Practices Senior
Manager – Private Equity
**PRINCIPLES FOR RESPONSIBLE
INVESTMENT (PRI)**



✓ **Paul Chandler**
Head of Environmental Issues
**PRINCIPLES FOR
RESPONSIBLE INVESTMENT
(PRI)**



✓ **Michael Chue**
General Manager, Greater
China Regiona
FIREEYE



✓ **Andrew Chung**
Founder and
Managing Partner
1955 CAPITAL



✓ **Sogo Fujisaki**
Vice President, CSR and
Sustainable Development
Strategy
FUJITSU LIMITED



✓ **Aki Georgacacos**
Managing Partner
AVRIO CAPITAL INC.



✓ **James Gifford**
Senior Impact Investing
Strategist
UBS



✓ **Jie Gong**
Partner
PANTHEON VENTURES



✓ **James Magor**
Manager, Responsible
Investment
ACTIS



✓ **Alvin Lam**
Senior Managing Director
CVC CAPITAL PARTNERS



✓ **Kevin Lu**
Partner and Chairman of
Asia, Member of the Global
Executive Board
PARTNERS GROUP



✓ **Piotr Mazurkiewicz**
E&S Global Sector Lead for
Financial Intermediaries
IFC



✓ **Michael Octoman**
Senior Partner and COO
NAVIS CAPITAL PARTNES



✓ **Steven R. Okun**
ASEAN Representative
EMPEA



✓ **Nicholas R. Parker**
Chairman
**PARKER VENTURE
MANAGEMENT**



✓ **James Pearson**
Chief Executive Officer
PACIFIC RISK ADVISORS



✓ **David Russell**
Co-Head of Responsible
Investment
**USS INVESTMENT
MANAGEMENT (USSIM)**



✓ **Yi-Cheng Shih**
Chair Professor of International
Institute of Green Finance
**CENTRAL UNIVERSITY OF
FINANCE AND ECONOMICS
(CUFE)**



✓ **Patrick T. Siewert**
Managing Director
THE CARLYLE GROUP



✓ **Frank Tang**
CEO and Managing Partner
FOUNTAINVEST PARTNERS



✓ **Kurt W. Tong**
Consul General
**U.S. CONSULATE GENERAL,
HONG KONG & MACAU**



✓ **Ravi Thakran**
Chairman and
Managing Partner
L CATTERTON ASIA



✓ **Hitoshi Yamaguchi**
Partner
UNISON CAPITAL INC.

Programme

Monday, 13 November 2017

7:30 LP Registration

7:45 ILPA-PRI breakfast briefing for LPs: ESG and Emerging Opportunities for Transparency and Disclosure

INVITATION ONLY

ILPA and PRI will be co-hosting an LP-only breakfast alongside the AVCJ ESG Forum. Both ILPA and the PRI will share updates from recent initiatives to highlight where progress has been made in aligning LP-GP interests, and areas where further alignment may be required. Over breakfast, LPs will have the opportunity to exchange ideas and experiences with colleagues on transparency and disclosures, and explore how various techniques and tools, including the ILPA Reporting Template and the PRI Guidance on Incorporating Responsible Investment Requirements into Private Equity Fund Terms, can provide support to Limited Partners operating in Asia.

8:15 Main conference registration and networking

8:50 Welcome address

9:00 Sustainable investing in private equity: The trends and opportunities in Asia

The rise of sustainable investment practices is transforming private equity investments globally, and in Asia. In addition to soaring demand from LPs, GPs have realised successfully integrating ESG into their investments can improve returns, mitigate risk, and create value. Despite adoption of ESG standards by some Asian firms, take up is still fairly slow and implementation varied. However, consumer demand for ESG-friendly products and services; proven success with solutions-investing; and the growing need for adherence to ESG standards at the time to exit, are all propelling development in Asia. Our panel of senior investment professionals discuss where they see the opportunities and how to generate returns from them.

- ▼ Where do you see the most exciting ESG opportunities in different geographies?
- ▼ How do successful fund managers integrate ESG strategies into their investments to maximize value and impact?
- ▼ How is an improvement in ESG issues translating into a higher exit premium?
- ▼ What can Asian GPs learn from their European counterparts?

10:00 Fireside chat: The rise of impact investing in Asia

10:30 Networking coffee break

11:00 A new climate for sustainable investment

In this policy initiatives update, senior professionals and investors will give an update on the development of the hottest issues affecting responsible investment and analyse the implications for private equity investors:

- ▼ The Trump administration and its influence on trade and sustainable investment
- ▼ China and Green Finance
- ▼ The Paris agreement: Withdrawal of the US vs. support from Asia
- ▼ UN Sustainable Development Goals

12:00 Crisis management case study: Preparing for the worst - scenario analysis and LP incident reporting

12:30 Networking lunch

13:20 Formalising your commitment to responsible investment

Global LPs, are increasingly committed to factoring in ESG as part of the decision process when making a commitment to fund managers. GPs who implement a good responsible investment policy and employ a practical approach to ESG considerations when deal sourcing will attract a wider-range of quality LPs. In this session, our panel of leading GPs and LPs will discuss what constitutes as best practice in responsible investment and how to convert a policy into reality.

- What does a good responsible investment policy look like?
- How are LPs taking ESG into account when making their allocation decision?
- What are the most important ESG factors that LPs look for when selecting a GP?
- How can responsible investment be incorporated into fund terms?

To be continued

Register online at avcjesg.com

Programme

14:20 The corporate view: How sustainability is driving performance

Hear directly from a successful corporate who has embedded ESG into its operations on a significant scale. The session will explore why one needs to invest beyond what is necessary from a compliance perspective. They will share real life experiences of what worked, what did not, and lessons they learnt along the way.

14:50 Networking coffee break

15:05 LP-GP reporting and dialogue on ESG: Where are we now?

The wide scope of ESG metrics, no formal reporting regulations, and a lack of agreed standards are challenges that hinder the evolution of ESG adoption. To resolve these issues, industry players are developing a toolkit for consistent and streamlined LP-GP reporting for the lifetime of a fund. Panellists will give an update on the progress of this initiative and share how this will help make better investment decisions.

- ▮ What is the value of ESG reporting to LPs and GPs?
- ▮ Proliferating questionnaires, standards and initiatives: How do we simplify reporting?
- ▮ In practical terms, how do we manage the whole process?
- ▮ What does good look like? And how does this translate into investment decisions for LPs and GPs?

15:50 Plenary address

16:20 Meet the ESG experts roundtables

These interactive group discussions will each be assigned a key theme with industry experts, to deeply examine a topic in an environment that will promote sharing and networking.

Specialist roundtables on some of the most pressing ESG challenges for investors, including:

- ▮ Safeguarding against governance and corruption
- ▮ Environmental management and climate change
- ▮ Cybersecurity
- ▮ Food safety

17:15 Close of conference and cocktail reception

About AVCJ:

AVCJ has been the leading source of information on private equity and venture capital activities in Asia since its establishment in 1987. Today, AVCJ's suite of information products has expanded from its flagship *Asian Venture Capital Journal Magazine* to include AVCJ.com (Asian private equity portal), a daily e-newsletter, *Chinese AVCJ*, rich media and industry leading database and research services.

AVCJ also organizes the premier Asia-focused conferences and networking events for the private equity industry. Its AVCJ Forum series of events regularly attracts the top private equity professionals, corporate executives and institutional investors to the financial centers of Asia.

AVCJ is an Acuris company, which also includes Mergermarket, Debtwire, Unquote and the Inframation Group.

About PRI:

The United Nations-supported Principles for Responsible Investment (PRI) Initiative is an international network of investors working together to put the six Principles for Responsible Investment into practice. Its goal is to understand the implications of sustainability for investors and support signatories to incorporate these issues into their investment decision making and ownership practices.

In implementing the Principles, signatories contribute to the development of a more sustainable global financial system.

The Principles are voluntary and aspirational. They offer a menu of possible actions for incorporating ESG issues into investment practices across asset classes. Responsible investment is a process that must be tailored to fit each organisation's investment strategy, approach and resources. The Principles are designed to be compatible with the investment styles of large, diversified, institutional investors that operate within a traditional fiduciary framework.

The PRI Initiative has quickly become the leading global network for investors to publicly demonstrate their commitment to responsible investment, to collaborate and learn with their peers about the financial and investment implications of ESG issues, and to incorporate these factors into their investment decision making and ownership practices.

PRI Signatories can enjoy a discounted rate at US\$699

Register online at avcjesg.com

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13 November 2017

Co-hosted by:



ENVIRONMENTAL

SOCIAL

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Email this form to book@avcj.com

For more information, please visit avcjescg.com

If you have a **discount code**, please insert:

Booking details

Standard Rate

US\$1,199

Fees include refreshments, luncheon, evening reception and all conference documents.

Registration details

Please complete your details below in BLOCK CAPITALS or attach your business card.

Mr/Mrs/Ms First name Last name

Job title

Company

Address

City Country Post/zip code

Telephone Fax E-mail

I plan to attend the **networking cocktail** on Monday, 13 November at 17:00 Yes No

Payment details

Credit card: (US\$) Visa MasterCard American Express

Card no. Credit card country issue

Expiry date Security code

Credit card billing address

Name on card Signature

Cancellation/refund policy: A cancellation charge of US\$300 is applicable to written cancellations received on or before **30 October 2017**. No refund will be made for cancellations after the date due to our advance guaranty obligations and administration costs. If you do not attend the event or notify us of your intention to cancel, the full fee will still be payable. A substitute delegate is welcome but the organiser **MUST** be notified in advance of the meeting to avoid incurring a charge. Substitutions may **NOT** be made at the meeting.

Pay in advance: We will include registrants' names on the official delegate list if we have received your registration fees on or before **6 November 2017**. All payments should be made within **14 days from your invoice date**. Regrettably, no entrance will be permitted for payments not received before the events take place.

Venue

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